



Junyu Zou¹, Zhiyong Yang¹, Chongchang Mao² and Shin-Tson Wu^{1,*}

- ¹ College of Optics and Photonics, University of Central Florida, Orlando, FL 32816, USA;
- zoujunyuwinnie@Knights.ucf.edu (J.Z.); zhiyyang@knights.ucf.edu (Z.Y.)
- ² ElectroSience Lab, Ohio State University, 1330 Kinnear Road, Columbus, OH 43212, USA; mao.550@osu.edu
- Correspondence: swu@creol.ucf.edu; Tel.: +1-407-823-4763

Abstract: We report two high birefringence and low viscosity nematic mixtures for phase-only liquidcrystal-on-silicon spatial light modulators. The measured response time (on + off) of a test cell with 2π phase change at 1550 nm, 5 V operation voltage, and 40 °C is faster than 10 ms. To improve the photostability, a distributed Bragg reflector is designed to cutoff the harmful ultraviolet and blue wavelengths. These materials are promising candidates for future 6G optical communications.

Keywords: liquid crystals; spatial light modulator; liquid-crystal-on-silicon; photostability

1. Introduction

Dense wavelength division multiplexing (DWDM) optical networks [1] are the foundation of global internet communications. With an ability to transport over 100 informationbearing wavelengths on a single optical fiber [2], DWDM nullifies the cost-per-bit of communicating services. The technology makes it economically viable for businesses to move their computing and storage to the Cloud and for consumers to stream video ubiquitously. It is also an essential enabler for the 5th generation (5G) [3] and 6th generation [4,5] (6G) networks and most other advanced telecommunications. Ensuring high capacity, ultra-high reliability, and low latency over multiple simultaneous connections in the same communication network infrastructure not only requires development of 5G/6G mobile fronthaul networks but also requires major developments at the wired network backhaul side.

In order to increase the data transport rate and reduce the signal latency, reconfigurable add/drop multiplexer (ROADM) systems [6,7] are widely deployed in DWDM network nodes, as illustrated in Figure 1a. ROADM systems can facilitate the addition of new services without requiring an expensive upgrade or substantial change to telecom networks [8]. A ROADM allows remote, precise, and flexible selection of wavelengths, so it significantly increases the network capacity without major expense. The ROADM market is forecasted to have tremendous growth following the progress of deployment of 5G/6G networks.

Referring to Figure 1b, the $1 \times N$ wavelength selective switch (WSS) and $M \times N$ Add/Drop WSS (AdWSS) are two core subsystems of a ROADM system [9]. The optical system of a WSS, as shown in Figure 2a, performs wavelength de-multiplexing, beam steering, and then wavelength multiplexing. A WSS system can direct an optical beam at any wavelength to any desired output port. Several technologies have been used for light beam steering, such as micro-electromechanical system (MEMS) arrays [10], liquid crystal cells and crystal wedges [11], and liquid-crystal-on-silicon (LCoS) phase modulators [12]. Among them, LCoS phase modulators are used in most current WSS systems because they are easier to develop high port count WSS systems and to realize dynamic control of the channel center with high resolution [13,14]. As Figure 2b depicts, a blazed grating is formed on the LCoS phase modulator corresponding to each incident beam (e.g., red, green, and blue) when a designed profile of spatially dependent voltages is applied to the



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Copyright: © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). pixels. When a light beam is incident upon the grating, the reflected beam is diffracted to a designed direction. Changing the blazed grating pitch enables the grating to diffract an incident optical beam to different angles, resulting in $1 \times N$ optical switching.



Figure 1. (a) Schematic diagram of optical telecommunication network; (b) Example of ROADM architecture.



Figure 2. (a) The optical system of WSS; (b) illustration of beam steering principle using an LCoS phase modulator.

Currently, the optical network industry faces significant challenges to develop high port count, high switching speed, and low insertion loss WSS systems. Generally, current WSS systems have a beam steering time longer than 1 s. Such a steering time consists of two parts: the frame data loading time onto LCoS silicon backplane and the liquid crystal (LC) response time [15]. The latter is usually much slower than the former. For example, the frame data refreshing time is ~8 ms when an LCoS phase modulator is operated at a frame rate of 120 Hz. The frame data loading time can be further reduced by increasing the frame rate if necessary. In current WSS systems, LC response time is much longer than data loading time, resulting in a slow beam steering speed. Therefore, to increase the beam steering speed, we need to develop fast-response LC materials.

In a WSS, to achieve the required hitless switching, beam steering is performed with several steps, for example, 4–6 steps. Therefore, increasing the LC switching speed can significantly reduce the system's beam steering time. For example, if the LC switching time is less than 10 ms, then the system's beam steering time can be reduced by one order from current millisecond switching time. More details about how LC materials influence the performance of WSS can be found in Ref. [16].

Fast-response LC materials have been developed for display applications [17] and phase-only modulators for beam steering applications [15,18,19]. Most commercially available LC mixtures exhibit a birefringence $\Delta n < 0.3$ at $\lambda = 589.3$ nm in order to keep a good photostability [16]. However, for optical telecommunications, the wavelength is typically at $\lambda = 1550$ nm. As the wavelength increases, Δn gradually decreases and then

saturates [15]. Moreover, for an LCoS phase modulator, the required phase change is 2π , instead of 1π for the corresponding intensity modulator. That means that, if we use the same LC material for intensity and phase modulators, the latter will have a $4\times$ slower response time. Thus, it is really challenging to achieve a fast response time for the intended 5G/6G communications.

In this paper, we evaluate two fast-response LC materials, LCM-1107 and LCM-2018 (LC Matter). Both mixtures exhibit a high Δn and relatively low viscosity. The measured response time is less than 10 ms at 5 V, $\lambda = 1550$ nm, and the intended operating temperature of an LCoS, which is between 40 and 60 °C. A distributed Bragg reflector is also designed to protect these high Δn LC materials from being damaged by the ambient UV (ultraviolet) and blue lights.

2. Material Characterizations

The physical properties of LCM-1107 and LCM-2018 are listed in Table 1. Differential scanning calorimetry (DSC, TA Instruments Q100) was applied to measure the melting temperature (T_m) and clearing temperature (T_c). From Table 1, these two materials show a wide nematic range to meet the requirements for LCoS applications in 6G communications. The dielectric constants were measured with a multifrequency LCR meter, HP-4274. Both mixtures have a reasonably large dielectric constant ($\Delta \varepsilon > 15$), which helps to lower the threshold voltage. According to the measured free relaxation response time of the test cell [20], the viscoelastic constant γ_1/K_{11} of each mixture can be extracted. For comparison, we also include the properties of a Merck high birefringence LC material, BL038 [21], as shown in Table 1. From Table 1, we can see that these two materials exhibit high Δn , large dielectric constants, and relatively low viscoelastic constants.

LC Mixture	LCM-1107	LCM-2018	BL038
T_c (°C)	99.2	115.6	100.0
T_m (°C)	<-20	<-20	-
Δ <i>n</i> @1550 nm	0.312	0.344	0.257(@633 nm)
$\Delta \varepsilon @1 \text{ kHz}$	16.3	17.3	14.4
ε_{\perp} @1 kHz	4.84	5.07	5.0
\overline{K}_{11} (pN)	12.7	14.6	19.1
$\gamma_1/K_{11} ({\rm ms}/{\rm \mu m}^2)$	17.6	14.5	30.2

Table 1. Measured physical properties of LCM-1107, LCM-2018, and BL038 at T = 22 °C.

2.1. Birefringence

To measure Δn , LCM-1107 and LCM-2018 were filled into two homogeneous cells, whose cell gaps are $d = 8.03 \ \mu\text{m}$ and $d = 8.10 \ \mu\text{m}$, respectively. The pretilt angle of the rubbed polyimide alignment layers is about 3°. Then, the cell was mounted on a Linkam heating stage, and the temperature was controlled through a temperature programmer TMS94. The test cell was sandwiched between two crossed polarizers and the LC director was oriented at 45° with respect to the optical axis of the polarizer. During the measurement, the sample was activated with a 1 kHz square-wave AC voltage, and the input wavelength ($\lambda = 1550 \ \text{nm}$) was from a laser diode. The transmitted light was detected by an infrared (IR) detector, so that we could obtain the voltage–transmittance (V–T) curve. This V–T curve can be converted to a voltage–phase (V– Φ) curve [22].

Next, the birefringence at a temperature can be calculated from the measured phase retardation. Figure 3 shows the measured (dots and triangles) and fitting (solid line) results of these two materials at different temperatures. The temperature-dependent birefringence can be described by the following equation [23]:

$$\Delta n = \Delta n_0 S = \Delta n_0 (1 - T/T_c)^{\beta}.$$
(1)



Figure 3. Temperature-dependent birefringence of LCM-1107 and LCM-2018 at λ = 1550 nm and *f* = 1 kHz. Dots and triangles are measured data and solid lines are fitting curves with Equation (1).

In Equation (1), *S* is the order parameter, T_c (unit: K) is the clearing temperature of the LC (measured by DSC), Δn_0 represents the extrapolated birefringence when T = 0 K, and exponent β is a material parameter. The values of both Δn_0 and β can be obtained by fitting the experimental data with Equation (1), whose results are listed in Table 2.

Table 2. Fitting parameters obtained through Equations (1)–(3).

LC Mixture	Δn_0	β	G@40 °C (μm ⁻²)	λ*@40 °C (μm)	Α (ms/μm²)	<i>E_a</i> (meV)
LCM-1107	0.451	0.236	3.67	0.276	1.69×10^{-5}	342
LCM-2018	0.500	0.260	3.91	0.284	1.16×10^{-4}	288

For LiDAR (light detection and ranging) and 6G optical communications, the employed wavelength could be different. Therefore, we also measured the wavelength-dependent birefringence of these two materials. In the experiment, we measured the wavelength dispersion at the working temperature of LCoS, which is typically 40–60 °C. Six different wavelengths were used: a He-Ne laser at $\lambda = 632.8$ nm, a tunable Argon ion laser with $\lambda = 457, 488$, and 514 nm, and two diode IR lasers at $\lambda = 1060$ and 1550 nm. The measured results (dots and triangles) are plotted in Figure 4. We can fit the experimental results with the following single-band birefringence dispersion equation [23]:

$$\Delta n = G \frac{\lambda^2 \lambda^{*2}}{\lambda^2 - \lambda^{*2}}.$$
(2)





Equation (2) has two fitting parameters: *G* (proportionality constant) and λ^* (mean resonance wavelength). The fitting results are listed in Table 2.

2.2. Viscoelastic Constant

The viscoelastic constant γ_1/K_{11} is also an important parameter affecting the LCoS response time. We measured γ_1/K_{11} through the transient decay time of an LC cell. The obtained results are plotted in Figure 5, where dots and triangles are the measured results, and solid lines are the fitting results using the following equation [24]:

$$\frac{\gamma_1}{K_{11}} = A \frac{\exp(E_a/k_B T)}{(1 - T/T_c)^{\beta}}.$$
(3)



Figure 5. Temperature-dependent viscoelastic constant of LCM-1107 and LCM-2018. Dots and triangles are measured data and solid lines are fitting curves with Equation (3).

In Equation (3), A is the proportionality constant, E_a is the activation energy, and k_B is the Boltzmann constant. Here, A and E_a are fitting parameters, whose values are also included in Table 2.

2.3. Figure of Merit

Figure of merit, which is defined as $FoM = \Delta n^2 / (\gamma_1/K_{11})$, is a fair way to compare the electro-optic performance of different materials [24]. Based on the results in Figures 3 and 5, we can plot the temperature-dependent FoM as shown in Figure 6, where dots are experimental data and solid lines are the fitting curves using the definition of *FoM*, and Equation (2) for Δn and Equation (3) for γ_1/K_{11} . From the figure, we can see that as the temperature increases, FoM increases first, reaching a peak, and then declines sharply as the temperature approaches T_c . The reason the FOM shows such a tendency is that both Δn and γ_1/K_{11} decrease but at different rates as the temperature initially increases. In Figure 3, Δn decreases slowly as the temperature gradually increases, but the decreasing rate becomes more pronounced as the temperature approaches T_c . However, γ_1/K_{11} shows an opposite trend, i.e., it decreases rapidly in the beginning and then gradually saturates as the temperature gets closer to T_c . Detailed mechanisms have been explained in Ref. [24].



Figure 6. Temperature-dependent FoM of (a) LCM-1107 and (b) LCM-2018. Squares are measured data and solid lines are fitting curves.

2.4. Voltage-Dependent Phase Change

For a phase-only LCoS, we can select an optimal cell gap for each LC material to achieve 2π phase change at the maximally allowable operation voltage, which is 5 V $(V_{2\pi} = 5 \text{ V})$, and the intended operation temperature and wavelength. In the experiment, for convenience we measured the voltage-dependent transmittance (V-T) curves of these two materials using transmission-type LC cells at λ = 1550 nm and then converted to the voltage-dependent phase $(V-\Phi)$ curves depicted by red lines in Figure 7. Since the operating temperature of a working LCoS device could vary from 40 to 60 °C due to the thermal effects from the backplane driving circuits and the employed light source, we measured the electro-optic effects at 40, 50, and 60 °C for LCM-1107 (Figure 7a-c) and LCM-2018 (Figure 7d-f), respectively. From the measured results (red lines) shown in Figure 7, when the applied voltage is 5 V, the phase change is more than 2π , which means we can use a thinner cell gap (optimal cell gap) to achieve the desired $V_{2\pi} = 5$ V at each temperature. Due to the limited available cell gaps in our lab, we extrapolated the $V-\Phi$ curve to the corresponding optimal cell gap as shown by the blue lines in Figure 7. The optimal cell gaps and the extrapolated $V-\Phi$ curves are obtained according to the phase retardation equation $\delta = 2\pi d\Delta n/\lambda$. Since the cell gap is thinner, the response time is faster, as will be discussed quantitatively later.



Figure 7. Measured (red lines) voltage-dependent phase change in a transmissive LCM-1107 cell (**a**–**c**) and LCM-2018 cell (**d**–**f**) at λ = 1550 nm, and the extrapolated (blue lines) voltage-dependent phase change of the corresponding optimal cell gaps at $V_{2\pi}$ = 5 V_{rms}.

2.5. Response Time

The response time (rise time and decay time) of an LC phase modulator between two gray levels (V_1 and V_2) depends on the viscoelastic constant, LC cell gap, threshold voltage, and operating voltages, which are described in the following equations [25]:

$$\tau_{on} = \frac{\tau_0}{\left(V_2 / V_{th}\right)^2 - 1},\tag{4}$$

$$\tau_{off} = \frac{\tau_0}{\left| \left(V_1 / V_{th} \right)^2 - 1 \right|},$$
(5)

$$\tau_0 = \frac{\gamma_1 d^2}{K_{11} \pi^2}.$$
 (6)

and V_2 are the low and high gray-level voltages, respectively. Based on the previous studies [17,26], the average gray-to-gray rise and decay time is approximately equal to the sum of free relaxation time ($V_1 = 0$) and turn-on time ($V_2 = 8$ th gray level). Therefore, in our experiment we set $V_1 = 0$ and $V_2 = V_{2\pi}$ and just measured the rise time and decay time between gray levels 1 and 8 for each test cell without the need of measuring all the gray-to-gray transition times.

In experiment, the rise time and decay time of LCM-1107 and LCM-2018 were measured at T = 40, 50 and 60 °C at $V_{2\pi} = 5$ V and $\lambda = 1550$ nm. Results are shown in Table 3 for LCM-1107 and Table 4 for LCM-2018. Next, we converted the measured results to the corresponding reflection-type cell, which is four times faster than that of a transmissive cell because its cell gap is one-half of the transmissive one. Furthermore, in each scenario we also need to convert the response time to the corresponding optimal cell gap with $V_{2\pi}$ = 5 V, as the blue lines depict in Figure 7. Under optimal conditions, the cell gap is thinner and the value of $V_{2\pi}$ increases to 5 V, which contributes to a faster rise time, as Equation (4) indicates. On the other hand, a thinner cell gap also helps to improve the decay time according to Equations (5) and (6). Therefore, the total response time is reduced significantly by using an optimal cell gap, and the extrapolated results are shown in Table 3 for LCM-1107 and Table 4 for LCM-2018. From Table 3, LCM-1107 has a total response time of 6 ms at 50 °C. On the other hand, LCM-2018 has an even higher birefringence than LCM-1107. Thus, a thinner cell gap can be used, resulting in a 5.1 ms total response time at 50 °C and 4.6 ms one at 60 °C. That means that by using LCM-2018 we can achieve a ~200 Hz frame rate for 6G communication at λ = 1550 nm.

Table 3. Measured response time of a transmissive LCM-1107 cell with $d = 8.03 \mu m$ and the extrapolated response time to the corresponding reflective cells at 40, 50 and 60 °C with $\lambda = 1550 \text{ nm}$.

<i>T</i> (°C)	d (µm)	$V_{\rm th}$ (V)	$V_{2\pi}$ (V)	$ au_{ m on}$ (ms)	$ au_{ m off}$ (ms)	$ au_{ m total}$ (ms) Transmissive	$ au_{ ext{total}}$ (ms) Reflective
40	8.03	0.8	1.88	40.4	56.6	97.1	24.3
40	5.88	0.8	5.0	2.4	30.4	32.8	8.2
50	8.03	0.8	1.94	27.1	39.2	66.3	16.6
50	6.05	0.8	5.0	1.9	22.3	24.2	6.0
60	8.03	0.8	2.04	17.9	30.9	48.8	12.2
60	6.52	0.8	5.0	1.6	20.4	22.0	5.5

Table 4. Measured response time of a transmissive LCM-2018 cell with $d = 8.10 \,\mu\text{m}$ and the extrapolated response time to the corresponding reflective cells at 40, 50 and 60 °C with $\lambda = 1550 \,\text{nm}$.

<i>T</i> (°C)	d (µm)	V_{th} (V)	$V_{2\pi}$ (V)	$ au_{ m on}$ (ms)	$ au_{ m off}$ (ms)	$ au_{ ext{total}}$ (ms) Transmissive	τ _{total} (ms) Reflective
40	8.10	0.9	1.80	54.4	52.1	106.4	26.6
40	5.20	0.9	5.0	2.3	21.5	23.7	5.9
50	8.10	0.9	1.84	38.3	41.5	79.8	19.9
50	5.42	0.9	5.0	1.8	18.6	20.4	5.1
60	8.10	0.85	1.85	29.8	33.7	63.4	15.9
60	5.73	0.85	5.0	1.7	16.8	18.5	4.6

It should be mentioned here that, in addition to the material parameters and cell gap shown in Equations (4)–(6), the LC response time also depends on the anchoring energy of the alignment layers [27]. Equations (4)–(6) are derived based on strong anchoring energy, such as buffed polyimide alignment layers. If an inorganic SiO₂ layer is used, its anchoring energy could be lower. As a result, the corresponding threshold voltage would decrease, and decay time would increase slightly due to the weaker restoring force [28].

3. Coating Design

Both LCM-1107 and 2018 contain some isothiocyanato (NCS) phenyl-tolane compounds [29,30]. Thus, they exhibit a high Δn , large $\Delta \varepsilon$, and relatively low viscosity. However, due to their long molecular conjugation, the photostability of these high birefringence NCS compounds are usually inadequate, especially in the UV and blue spectral regions. To seal the filling hole of the LCoS panel, we should block the active LC area and use a longer UV wavelength, such as 385 nm, to cure the sealant glue, as discussed in [17].

To protect the high birefringence LC materials from ambient UV and blue light irradiation, we designed a distributed Bragg reflector (DBR) to filter out the wavelength from 300 to 500 nm, while keeping a high transmittance at 1550 nm. Such a DBR film should only cover the active LC area, except for the filling hole, because it is not transparent at 385 nm.

In our design, we applied TiO_2 and SiO_2 as the high and low refractive index materials, respectively, which are commonly used in DBR fabrication [31–33]. The wavelength-dependent refractive indices of TiO_2 and SiO_2 were taken from [31] and [32], respectively. Since we require a broad reflection band in the UV–blue region, in our design we adopted two groups of DBR stacks [33] corresponding to the two reflection peaks at 360 and 480 nm, respectively. Each group consists of eight pairs of TiO_2/SiO_2 . Each pair in the first group (corresponding to the 360 nm reflection peak) has a thickness of $TiO_2(32 \text{ nm})/SiO_2(61 \text{ nm})$, and the second group (corresponding to the 480 nm reflection peak) has the thickness of $TiO_2(47 \text{ nm})/SiO_2(81 \text{ nm})$. The transmission spectrum of this DBR is shown in Figure 8. The transmittance is less than 0.5% from 300 to 510 nm but is as high as 97.2% at 1550 nm.



Figure 8. Transmission spectrum of the designed distributed Bragg reflector.

4. Conclusions

We reported two high birefringence LC mixtures, LCM-1107 and LCM-2018, for phaseonly LCoS panels intended for 6G communications, whose working wavelength is around 1550 nm. These two materials can easily achieve a total response time of 6 ms at 50 °C operating temperature, while keeping the 2π phase change voltage at $5V_{\rm rms}$. To enhance the photostability, we also designed a DBR coating, which has a transmittance lower than 0.5% from 300 to 510 nm, and higher than 97% at 1550 nm. These two materials are promising candidates for LCoS-based 6G communications. **Author Contributions:** Methodology, J.Z. and Z.Y.; writing—original draft preparation, J.Z. and C.M.; writing—review and editing, S.-T.W.; supervision, S.-T.W. All authors have read and agreed to the published version of the manuscript.

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